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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

		Application Number	10/522,081
		Filing Date	July 22, 2003
		First Named Inventor	Cinzia Lanzi
		Art Unit	N/A
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	21	Attorney Docket Number	M400-F111

## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Declaration (6 Pages) Part 2 Copy of Notice (2 Pages) Copy of Fee Transmittal Form (1 Page)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	CELL THERAPEUTICS, INC. 		
Signature			
Printed name	Donald W. Wyatt		
Date	February 3, 2006	Reg. No.	40,879

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: February 3, 2006

Signature:  (Donald W. Wyatt)

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**FEB 03 2006**

PTO/SB/17 (01-06)

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*Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).*

**FEE TRANSMITTAL**  
**For FY 2006**

<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Art Unit	N/A
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ 1,145.00)	Attorney Docket No.	M400-F111

**Complete if Known**

Application Number	10/522,081
Filing Date	July 22, 2003
First Named Inventor	Cinzia Lanzi
Examiner Name	Not Yet Assigned

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 031182 Deposit Account Name: Cell Therapeutics, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Each claim over 20 (including Reissues)

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
-	-	x	=	HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	-	-	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 2255 Extension for response within fifth month 1,080.00

2051 Surcharge-Late oath or declaration 65.00

SUBMITTED BY				
Signature		Registration No. (Attorney/Agent)	40,879	Telephone (206) 272-4243
Name (Print/Type)	Donald W. Wyatt		Date	February 3, 2006

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: February 3, 2006 Signature: (Donald W. Wyatt)

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FEB 03 2006

Docket No.: M400-F111  
(PATENT)

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Dated: February 3, 2006 Signature:   
(Donald W. Wyatt)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Cinzia Lanzi et al.

Application No.: 10/522,081

Confirmation No.: 7569

Filed: July 22, 2003

Art Unit: N/A

For: ANTITUMOR COMPOUND AND  
THERAPEUTIC USES THEREOF

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed July 5, 2005, Applicant respectfully submits a Declaration, a Power of Attorney, a Petition for Extension of Time, and Part 2 Copy of Notice.

Please charge our Deposit Account No. 031182 in the amount of \$1,145.00 covering the fees set forth in 37 CFR 1.17(a)(5) and 1.16(f). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 031182, under Order No. M400-F111. A duplicate copy of this paper is enclosed.

Dated: February 3, 2006

Respectfully submitted,

By   
Donald W. Wyatt  
Registration No.: 40,879  
CELL THERAPEUTICS, INC.  
501 Elliott Ave W  
Seattle, Washington 98119  
(206) 272-4243  
(206) 272-4397 (Fax)  
Attorney For Applicant

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## FAX TRANSMISSION

DATE: February 3, 2006

PTO IDENTIFIER: Application Number 10/522,081  
Patent Number

Inventor: Cinzia Lanzl et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: CELL THERAPEUTICS, INC.

Donald W. Wyatt

PHONE: (206) 272-4243

Attorney Dkt. #: M400-F111

ATTN: Resending fax  
(not all pages  
went through)-MARY  
WALKER

(206) 272-4452

PAGES (Including Cover Sheet): 21

## CONTENTS:

Facsimile Cover Sheet (1 Page)  
 Fee Transmittal (1 Page and Copy of Fee Transmittal – 1 Page; 2 Pages Total)  
 Power of Attorney (6 Pages)  
 Part 2 Copy of Notice (2 Pages)  
 Five Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page)  
 Response to Notice to File Missing Parts of Application (1 page)  
 Transmittal (1 page)  
 Declaration (6 Pages)  
 Charge \$1,145.00 to deposit account 031182  
 Certificate of Transmission (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (206) 272-4243 and send the original transmission to us by return mail at the address below.

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CELL THERAPEUTICS, INC.  
 501 Elliott Ave W, Seattle, Washington 98119  
 Telephone: (206) 282-7100 Facsimile: (206) 272-4397

02 FEB -1 03 00

PTO/SB/97 (09-04)

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U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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Application No. (if known): 10/522,081

Attorney Docket No.: M400-F111

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on February 3, 2006  
Date

  
\_\_\_\_\_  
SignatureDonald W. Wyatt

Typed or printed name of person signing Certificate

40,879  
Registration Number, if applicable(206) 272-4243  
Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Facsimile Cover Sheet (1 Page)  
Fee Transmittal (1 Page and Copy of Fee Transmittal – 1 Page; 2 Pages Total)  
Powers of Attorney (6 Pages)  
Part 2 Copy of Notice (2 Pages)  
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Response to Notice to File Missing Parts of Application (1 page)  
Transmittal (1 page)  
Declaration (6 Pages)  
Charge \$1,145.00 to deposit account 031182  
Certificate of Transmission (1 page)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/522,081	Cinzia Lanzi	M400-F111

10/522,081

Cinzia Lanzi

M400-F111

INTERNATIONAL APPLICATION NO.

PCT/EP03/07963

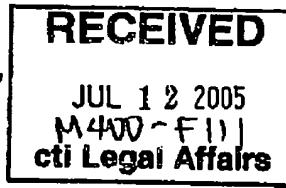
IA. FILING DATE PRIORITY DATE

07/22/2003

PRIORITY DATE

32516  
 DONALD W. WYATT  
 CELL THERAPEUTICS, INC.  
 501 ELLIOTT AVENUE WEST, #400  
 SEATTLE, WA 98119  
 DW: 9-5-2005 FINAL: 2-5-06  
 1. M'DCT - 10-5  
 2. M'DCT - 11-5  
 3. A'DCT - 12-5  
 4. A'DCT - 1-5-2006  
 Date Mailed: 07/05/2005

DOCKETED



CONFIRMATION NO. 7569

371 FORMALITIES LETTER



\*OC000000016392566\*

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/21/2005
- Copy of the International Search Report filed on 01/21/2005
- U.S. Basic National Fees filed on 01/21/2005
- Priority Documents filed on 01/21/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.138(a). 6 months

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Page 2 of 2

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Telephone: (703) 308-9140 EXT 212

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/522,081	PCT/EP03/07963	M400-F111

FORM PCT/DO/EO/905 (371 Formalities Notice)